J. Phys. A: Math. Theor. 45 (2012) 135203 (12pp)

Isospectral graphs with identical nodal counts

Idan Oren¹ and Ram Band^{1,2}

¹ Department of Physics of Complex Systems, Weizmann Institute of Science, Rehovot 76100, Israel

E-mail: idan.oren@weizmann.ac.il and rami.band@bristol.ac.uk

Received 2 October 2011, in final form 31 January 2012 Published 15 March 2012 Online at stacks.iop.org/JPhysA/45/135203

Abstract

According to a recent conjecture, isospectral objects have different nodal count sequences (Gnutzmann *et al* 2005 *J. Phys. A: Math. Gen.* **38** 8921–33). We study generalized Laplacians on discrete graphs, and use them to construct the first non-trivial counterexamples to this conjecture. In addition, these examples demonstrate a surprising connection between isospectral discrete and quantum graphs.

PACS numbers: 02.30.Zz, 02.10.Ox, 02.70.Hm

Mathematics Subject Classification: 34B45, 05C50, 15A18

1. Introduction

Nodal structures on continuous manifolds have been investigated ever since the days of Chladni. His work was experimental and involved the observation of nodal lines on vibrating plates. His research was resumed on a more rigorous footing by the pioneering works of Sturm [1–3], Courant [4] and Pleijel [5].

In recent years a surge of research has begun on inverse nodal problems, i.e. learning about the geometry of a system by observing its nodal features [16–19, 25]. This research follows what is already known for many years in the regime of inverse spectral problems: one can deduce geometrical information about a system by observing its spectrum.

A key question in the framework of inverse spectral theory was posed by Mark Kac who asked (1966): 'can one hear the shape of a drum?' [6]. Generally speaking, this question raises the issue of whether this information is unique. In other words, are there non-congruent systems with the exact same spectrum (these are called isospectral systems). It turns out that the answer to this question is positive. Milnor was the first to show that there are isospectral systems in the case of flat tori in 16 dimensions [7]. After him we should mark a few names who contributed significantly to the study of the subject: Sunada [8] (Riemannian manifolds), Gordon, Webb and Wolpert [9] as well as Buser *et al* [10] (domains in \mathbb{R}^2), Band *et al* [11] (quantum graphs) and Godsil and McKay [12] as well as Brooks [13] (discrete graphs).

² School of Mathematics, University of Bristol, Bristol BS8 1TW, UK

As a matter of fact, in the context of graphs, Günthard and Primas [14] preceded Kac, raising the same question regarding the spectra of graphs with relation to Hückel's theory (1956). A year later Collatz and Sinogowitz presented the first pair of isospectral trees [15].

As mentioned, aside from the spectrum, one can also try to mine information from the eigenfunctions of a given system. Today, it is known that there exists geometrical information in the nodal structures and nodal domains of eigenfunctions of manifolds, billiards and graphs [16–19]. Furthermore, it is known that this geometrical information is different from the information one can deduce solely by observing the spectrum. The pioneering work began with Gnutzmann *et al* [20, 21], and continued with many other papers, such as [22] for example.

In particular, Gnutzmann *et al* [20] conjectured that isospectral systems could be differentiated by their nodal domain counts (we will refer to it simply as the 'conjecture' throughout the paper). This conjecture has proven to be quite a strong one with many numerical and analytical evidence to back it up. In particular, in the case of graphs, both quantum and discrete, there exist much numerical evidence as well as rigorous proofs for the validity of the aforementioned conjecture; see, for example, [23–25]. In addition, the conjecture was proven to hold for a family of isospectral four-dimensional tori [22]. In both works [20, 22], the nodal count was defined by restricting the eigenfunctions to the unit cell of the torus. This counting method depends on the particular choice of the basic cell. However, a different result is found if one takes into account the periodicity of the torus, when counting the nodal domains. In particular, a recent publication shows that for this counting method, there exist a family of isospectral pairs of flat tori, sharing the same nodal domain counts [26]. This serves as a first counter-example to the conjecture.

In this paper, we would like to focus on the conjecture within the context of discrete graphs. We will first demonstrate its strength and present some known results. Our main topic, however, is to display the first counter-example to the conjecture. To this end, we will need to broaden our view from the usual operators defined on graphs to the more general setting of weighted graphs.

In addition, we would like to report a peculiarity which involves the discrete graphs of the counter-example. It turns out that this pair of isospectral (discrete) graphs are also isospectral as quantum graphs. This is intriguing since we have not been able to understand this phenomenon, nor could we build this isospectral pair using any of the (many) known methods which produce isospectral quantum graphs.

1.1. Discrete nodal domain theorems

Sturm [1–3] and Courant [4] after him were the first to give analytical results about nodal domain counts on continuous systems. Denoting the nodal count sequence by $\{\nu_n\}$, Courant's nodal domain theorem can be generally phrased as $\nu_n \leq n$.

In 1950, Gantmacher and Krein [27] investigated the sign patterns of eigenvectors of tridiagonal graphs, and in the 1970s Fiedler wrote a couple of papers about the sign pattern of eigenvectors of acyclic matrices (matrices which are defined on trees) [28, 29]. Both Gantmacher and Krein, as well as Fiedler did not formulate their findings in the language of nodal domains. It took almost 30 years for the discrete counterpart of the Courant nodal domain theorem to appear. Gladwell *et al* [30] and Davies *et al* [31] were the first to discover this analog, and soon afterward they were followed by Bıyıkoğlu [32] (who formulated a nodal domain theorem for trees). Recently a lower bound for the nodal count was derived by

Berkolaiko [33]. This bound is given explicitly by $n - \beta \le \nu_n$, where β is the number of independent cycles of the graph (as defined in section 2.1).

Trees are an extremal class of graphs in the sense that for a given number of vertices, they are the smallest connected graph (least number of edges). For trees, assuming some generic conditions (which are manifested by the fact that the eigenvectors do not vanish on any of the vertices), it was proven that the nodal domain count of the *n*th eigenvector of the Laplacian matrix has exactly *n* nodal domains [32, 33]. Therefore, all trees (with the same number of vertices) share the same nodal domain count sequence. Furthermore, it is known that almost all tree graphs are isospectral [34] (meaning that almost any tree has an isospectral mate). This means that we cannot resolve the isospectrality using nodal domain counts, when it comes to trees. This shortcoming of the conjecture is well known and, to the best of our knowledge, occurs only for trees.

If we introduce weighted graphs, then there exist two more trivial counter-examples: complete graphs which are denoted by K_n and cycle graphs (connected graphs in which all vertices have degree 2) which are denoted by C_n . In the case of complete weighted graphs, the first eigenvector has only one nodal domain and all other eigenvectors have exactly two nodal domains. Hence, they are an obvious counter-example. It should be noted that simple complete graphs are also extremal in the sense that for a given number of vertices, they are the largest connected graph (largest number of edges). For cycle graphs, it can be shown (using the Courant bound [4] and Berkolaiko's bound [33]) that they always have the same nodal count.

As far as the authors know, these three cases are the only counterexamples to the conjecture.

Aside from these extreme cases, in all isospectral graph pairs which were compared (analytically and numerically), different nodal domain sequences were observed [50]. In addition, we have a proof for the conjecture of a certain class of discrete graphs [24].

Up until now, we only discussed isospectrality of the traditional matrices defined on graphs, most notably the adjacency matrix and the Laplacian. Additional work was done on less studied matrices such as the signless Laplacian and the normalized Laplacian.

However, since nodal domain theorems were proven for a more general class of matrices (generalized Laplacians), it is natural to test the conjecture for this class as well.

The paper is organized as follows. We will begin with some background and necessary definitions. The following section will describe the method of construction of isospectral weighted graphs. Then, we will present the counterexample to the conjecture and finally prove the isospectrality of the quantum analog of our discrete graphs.

2. Definitions

2.1. Discrete graphs

A graph G is a set \mathcal{V} of vertices connected by a set \mathcal{E} of edges. The number of vertices is denoted by $V = |\mathcal{V}|$ and the number of edges is $E = |\mathcal{E}|$. The degree (valency) of a vertex is the number of edges which are connected to it. A topological property of the graph is given by its first Betti number, $\beta = E - V + C$, where C is the number of connected components of the graph. The graph's Betti number is the minimal number of edges one needs to remove from the graph to turn it into a tree. In this sense, it also has the meaning of the number of independent cycles of the graph. The interested reader is referred to [35] for a more elaborate description of the Betti number in the context of the nodal count.

The weighted adjacency matrix (connectivity) of G is the symmetric $V \times V$ matrix A = A(G) whose entries are given by

$$A_{ij} = \begin{cases} w_{ij}, & \text{if } i \text{ and } j \text{ are adjacent} \\ 0, & \text{otherwise.} \end{cases}$$

The w_{ij} 's values are called weights and are usually taken to be positive. For non-weighted graphs, all the weights are equal to unity. A diagonal element in A corresponds to a loop, which is an edge connecting a vertex to itself. We will only discuss graphs without multiple edges and usually without loops (these are also called *simple graphs*). When we do consider graphs with loops, we will state it clearly, and it should be noted that loops do not change the nodal domain count.

A generalized Laplacian, L(G), also known as a Schrödinger operator of G, is a matrix with entries

$$L_{ij} = \begin{cases} -w_{ij} & \text{if } i \text{ and } j \text{ are adjacent} \\ P_i & \text{if } i = j \\ 0 & \text{otherwise,} \end{cases}$$

where P_i is an arbitrary on-site potential which can assume any real value and $w_{ij} > 0$. The *combinatorial Laplacian* results by taking all weights to be unity, and $P_i = -\sum_j w_{ij} = -\deg(i)$, where $\deg(i)$ is the degree of the vertex i. This way, the sum of each row or column is equal to zero.

The eigenvalues of L(G) together with their multiplicities are known as the *spectrum* of G. To the nth eigenvalue, λ_n , corresponds (at least one) eigenvector whose entries are labeled by the vertex indices, i.e. $\phi_n = (\phi_n(1), \phi_n(2), \dots, \phi_n(V))$. A *nodal domain*, \tilde{G} , is a maximally connected subgraph of G such that for every two vertices i, j in \tilde{G} , sign $(\phi_n(i)) = \text{sign}(\phi_n(j))$. The number of nodal domains of an eigenvector ϕ_n is called a *nodal domain count* and will be denoted by v_n . The *nodal count sequence* of a graph is the number of nodal domains of eigenvectors of the Laplacian, arranged by increasing eigenvalues. This sequence will be denoted by $\{v_n\}_{n=1}^V$.

We recall that the known bounds for the nodal count [30, 31, 33, 36] are

$$n - \beta \leqslant \nu_n \leqslant n. \tag{1}$$

2.2. Quantum graphs

To define *quantum graphs*, a metric is associated with G. That is, each edge is assigned a positive length: $L_e \in (0, \infty)$. The total length of the graph will be denoted by $\mathcal{L} = \sum_{e \in \mathcal{E}} L_E$. This enables us to define the metric Laplacian (or Schrödinger) operator on the graph as the Laplacian in 1-d $-\frac{d^2}{dx^2}$ on each bond. The domain of the Schrödinger operator on the graph is the space of functions which belong to the Sobolev space $H^2(e)$ on each edge e and satisfy certain vertex conditions. These vertex conditions involve vertex values of functions and their derivatives, and they are imposed to render the operator self-adjoint. We will consider in this paper only the so-called Neumann vertex conditions for each vertex $v \in \mathcal{V}$:

$$\forall e, \tilde{e} \in S^{(v)}; \quad \psi_e(x_e)|_{x_e=0} = \psi_{\tilde{e}}(x_{\tilde{e}})|_{x_{\tilde{e}}=0}$$
 (2)

$$\sum_{e \in S(v)} \frac{\mathrm{d}}{\mathrm{d}x_e} \left. \psi_e(x_e) \right|_{x_e = 0} = 0,\tag{3}$$

where $S^{(v)}$ is the set of all edges connected to the vertex v. Furthermore, for all $e \in S^{(v)}$ the coordinate x_e is chosen such that $x_e = 0$ at the vertex v and the derivatives in (3) are directed

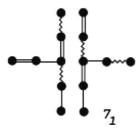


Figure 1. The 7_1 family in the representation presented in [41].

out of the vertex v. The eigenfunctions are the solutions of the edge Schrödinger equations

$$\forall e \in \mathcal{E} - \frac{\mathrm{d}^2}{\mathrm{d}x^2} \psi_e = k^2 \psi_e, \tag{4}$$

which satisfy at each vertex the Neumann conditions (2) and (3). The spectrum $\{k_n^2\}_{n=1}^{\infty}$ is discrete, non-negative and unbounded. One can generalize the Schrödinger operator by including potential and magnetic flux defined on the bonds. Other forms of vertex conditions can also be used. However, these generalizations will not be addressed here, and the interested reader is referred to two recent reviews [37, 38].

Finally, two graphs, G_1 and G_2 , are said to be *isospectral* if they posses the same spectrum (same eigenvalues with the same multiplicities). In perfect analogy, two graphs with the same nodal domain sequence will be referred to as *isonodal*. These two definitions hold both for discrete and quantum graphs.

3. Isospectrality and isonodality

3.1. Isospectral graphs construction

Our method for constructing isospectral graphs is a variation of a method described in [40], called the *line graph construction*. This method uses the gallery of isospectral billiards of Buser *et al* [10] in order to build isopectral discrete graphs. A similar idea was used by Gutkin *et al* [39] to construct isospectral discrete and quantum graphs.

A line graph is built from a 'parent' graph in the following way: each edge becomes a vertex, and two vertices in the line graph are adjacent if and only if their corresponding edges shared a vertex in the parent graph. In [40], an example is given, based on the first family of isospectral domains in [10] called the 7_1 family. Our method is simpler than the one in [40]. It results with graphs with the same topology as in [40], but with different Laplacian matrices.

Instead of using the gallery of billiards as it appears in [10], we use a graph representation of them as it is described in [41]. In particular, the 7_1 family is shown in figure 1.

We consider the two graphs in figure 1 as parent graphs and apply the line graph construction on them. We still have to specify how we assign weights in the resulting line graphs. We start by assigning three different weights: a, b, c > 0 to each of the three types of edges in the parent graphs. Suppose that in the parent graph, an edge of weight a shared a vertex with an edge of weight b ($a \neq b$). Then, in the line graph, the corresponding vertices would be connected by an edge of the remaining weight $c \neq a, b$.

The two resulting weighted line graphs are shown in figure 2. Let us denote the left graph by G_1 and the right one by G_2 .

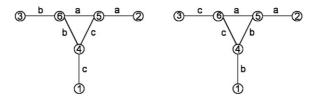


Figure 2. Two isospectral graphs constructed through the line graph construction from the 7_1 billiards

The generalized Laplacians of the two graphs are given explicitly by the following matrices:

$$L_{1} = -\begin{pmatrix} 0 & 0 & 0 & c & 0 & 0 \\ 0 & 0 & 0 & 0 & a & 0 \\ 0 & 0 & 0 & 0 & 0 & b \\ c & 0 & 0 & 0 & c & b \\ 0 & a & 0 & c & 0 & a \\ 0 & 0 & b & b & a & 0 \end{pmatrix} L_{2} = -\begin{pmatrix} 0 & 0 & 0 & b & 0 & 0 \\ 0 & 0 & 0 & b & 0 & a \\ 0 & 0 & 0 & 0 & a & 0 \\ b & 0 & 0 & 0 & b & c \\ 0 & a & 0 & b & 0 & a \\ 0 & 0 & c & c & a & 0 \end{pmatrix}.$$
 (5)

It is not difficult to check that for any a, b, c, the characteristic polynomials of L_1 and L_2 are identical and hence the graphs are isospectral. Another way to prove the isospectrality is to construct the transplantation matrix T such that $T^{-1}L_1T = L_2$. Then, it is clear that the two matrices are similar and therefore isospectral. The transplantation matrix between L_1 and L_2 is

$$T = \begin{pmatrix} 0 & -1 & 0 & 0 & 0 & 1 \\ -1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & -1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}. \tag{6}$$

The same construction can be carried out for any graph in the gallery of [41].

We can construct many more isospectral graphs by using polynomials in L_1 and L_2 . Namely, for any polynomial P, we will consider $P(L_1)$ and $P(L_2)$ as the Laplacian matrices of two new weighted graphs (assuming that $P(L_1)$ and $P(L_2)$ are indeed generalized Laplacians as defined in section 2.1). These two graphs might be topologically different than the original G_1 and G_2 . Since we have a transplantation matrix, it is clear that $P(L_1)$ and $P(L_2)$ are similar matrices and therefore the resulting graphs are also isospectral.

3.2. Failure of the conjecture regarding nodal domain counts

We have introduced the conjecture that the isospectrality between graphs can be resolved by counting nodal domains. We have also said that three known cases (trees, cycle graphs and complete graphs) are exceptions to this conjecture. We now prove that G_1 and G_2 , shown in figure 2, cannot be resolved by counting nodal domains. This is a non-trivial exception to the conjecture.

We define the vertices with degree larger than 1 as the *interior vertices* (vertices 4, 5, 6), and the rest as *boundary vertices* (vertices 1, 2, 3).

We begin by stating a lemma which establishes relations between the eigenvectors' values at the boundary vertices and at the interior vertices for the aforementioned graphs, G_1 and G_2 .

We assume in the following that the eigenvalues are simple and the corresponding eigenvectors have no zero components. This generic assumption is stable with respect to perturbation of the weights $(a, b, c)^3$. The case of zero components is further mentioned in remark 3.2 after the following discussion.

Lemma 1. Let ϕ^i be an eigenvector of L_i (i = 1, 2), which corresponds to an eigenvalue λ .

(i) The following holds for the first graph (i = 1):

$$\phi^{1}(1) = \frac{-c}{\lambda}\phi^{1}(4) \quad \phi^{1}(2) = \frac{-a}{\lambda}\phi^{1}(5) \quad \phi^{1}(3) = \frac{-b}{\lambda}\phi^{1}(6). \tag{7}$$

For the second graph (i = 2), we get the same relations with b and c interchanged.

(ii) The signs of the eigenvector ϕ^i at the inner vertices are all equal $(sign\{\phi^i(4)\} = sign\{\phi^i(5)\} = sign\{\phi^i(6)\})$ if and only if

$$\{\lambda < 0 \text{ and } |\lambda| > \max(a, b, c)\} \text{ or }$$
$$\{\lambda > 0 \text{ and } \lambda < \min(a, b, c)\}.$$
(8)

Proof.

- (i) The relations in (7) are just a restatement of the first three equations implied from $L_1\phi^1=\lambda\phi^1$. The second part of the statement follows from the fact that L_2 and L_1 are equal up to an interchange between the values of b and c.
- (ii) Plugging equations (7) in the last three equations implied from $L_1\phi^1=\lambda\phi^1$ gives

$$\begin{pmatrix} \frac{c^2 - \lambda^2}{\lambda} & -c & -b \\ -c & \frac{a^2 - \lambda^2}{\lambda} & -a \\ -b & -a & \frac{b^2 - \lambda^2}{\lambda} \end{pmatrix} \begin{pmatrix} \phi^1(4) \\ \phi^1(5) \\ \phi^1(6) \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}. \tag{9}$$

It is easy to see that $\phi^1(4)$, $\phi^1(5)$ and $\phi^1(6)$ have all the same sign if and only if all the diagonal elements of the matrix in (9) are positive (keeping in mind that a, b, c are positive). Hence, condition (8) follows for the first graph (i = 1).

The same condition holds for the second graph due to the fact that L_2 and L_1 are equal up to an interchange between the values of b and c.

We can now apply lemma 1 to establish our main result. Let ϕ^i (i=1,2) be an eigenvector of L_i , which corresponds to an eigenvalue λ . Note that both eigenvectors share the same eigenvalue, due to the isospectrality of both graphs. We now show that ϕ^1 and ϕ^2 have the same nodal count. We begin by checking the relations between the values at the interior and the boundary vertices. From (7), we conclude that if $\lambda < 0$, then each boundary vertex has the same sign as the interior vertex connected to it. This means that for $\lambda < 0$, the boundary vertices will not contribute to the nodal domain count. On the other hand, if $\lambda > 0$, each boundary vertex has an opposite sign than the interior vertex connected to it. This means that for $\lambda > 0$, the boundary vertices will contribute three to the nodal domain count. The most important point is that the contribution of the boundary vertices to the nodal count depends on the value of λ and since the two graphs are isospectral, it is the same for both graphs. As a result, it is enough to compare only the number of nodal domains of the interior vertices.

The interior vertices form a triangle. Therefore, the nodal domain count of any vector, on the subgraph induced by the interior vertices, is either one or two. From the second part of

³ See the discussion in comment 2.5 of [33].



Figure 3. The connectivity of the graph obtained by applying a polynomial $P(x) = \alpha x^2$ on either L_1 or L_2 . Each vertex should possess a self loop, which was not drawn here, for clarity.



Figure 4. The connectivity of the graph obtained by applying a polynomial $P(x) = \alpha x + \beta x^2$ on either L_1 or L_2 . Each vertex should possess a self-loop, which was not drawn here, for clarity.

lemma 1, we learn that the number of nodal domains on the interior vertices depends on the value of λ by a condition which is similar for both graphs. Therefore, the entire nodal domain counts of the graphs are determined by the spectrum, and since the two graphs are isospectral, the nodal count sequence does not resolve the isospectrality.

Remark. One can repeat the calculation in lemma 1 and the arguments in its preceding discussion for the case where the eigenvectors have zero components. In this case, the nodal count of the two graphs is the same (and equals two) for the negative part of the spectrum (i.e. for $\lambda < 0$). For positive eigenvalues, however, the nodal count differs (four nodal domains in one graph, and five in the other). As already mentioned above, this case is nongeneric and therefore does not reinforce the conjecture for the discussed setting.

As we have shown in subsection 3.1, for any polynomial P, the two graphs represented by $P(L_1)$ and $P(L_2)$ are isospectral. We will now show that these graphs are also isonodal, thus extending our family of counterexamples to the conjecture.

Assuming that the weights a, b, c are rationally incommensurate, the following observations can be easily proven.

- If the polynomial consists only of a second degree term $(P(x) = \alpha x^2)$, then the obtained graphs $P(L_1)$ and $P(L_2)$ have the same connectivity (figure 3).
- If $P(x) = \alpha x + \beta x^2$, then the obtained graphs $P(L_1)$ and $P(L_2)$ have the same connectivity (figure 4).
- Polynomials of third degree or larger represent weighted, complete graphs, which are trivial counterexamples to the conjecture (see section 1.1).

For these reasons, we only need to check the first two cases above.

The resulting graphs, in both cases, clearly have the same eigenvectors as G_1 and G_2 . We can therefore apply lemma 1 for the eigenvectors of $P(L_1)$ and $P(L_2)$. An immediate application of the lemma shows that for both types of graphs (in figures 3 and 4), the nodal count is determined by the spectrum, similarly to our observation for G_1 and G_2 .

We conclude that both types of graphs are isonodal and as a consequence, they are also non-trivial counterexamples to the conjecture.

Remark. Applying the line graph construction to other families from the gallery in Buser *et al* [10], one can build many pairs of isospectral graphs. Some of these pairs are isonodal (such as the 7_2 and 7_3 families) and some are not (such as the 13_2 family).

4. Isospectral quantum graphs

When we come to discuss quantum graphs, we need to define the lengths of the different edges. The weights we put on the weighted discrete graphs can be viewed as coupling constants. Thus, the most intuitive notion is to associate a length which is inversely proportional with the weights. If we also specify the vertex conditions, we go from the realm of discrete graphs into the realm of quantum graphs.

We then come to ask the following interesting question: is the isospectrality preserved when we enter the world of quantum graphs? This question is only a small part of a much broader subject—the spectral relations between quantum graphs and the underlying discrete graphs. This subject was addressed by several authors in the past; see, for example, [42-45]. However, most of these references have a complete analysis only for equilateral quantum graphs, with Neumann vertex conditions. The graphs G_1 and G_2 are *not* equilateral, and therefore we cannot make an *a priori* prediction whether or not the isospectrality is preserved.

Nevertheless, we will show by direct computation that G_1 and G_2 are indeed isospectral as quantum graphs, once Neumann vertex conditions are considered at all vertices.

A function ψ on the graph, which is continuous at the vertices, can be written as

$$\psi|_{(i,j)} = \frac{1}{\sin k l_{ij}} [\phi(i)\sin k(l_{ij} - x) + \phi(j)\sin kx], \tag{10}$$

where $\phi(i)$ is the value of the function at the vertex i and l_{ij} is the length of the edge (i, j). Note, that we still use the notations a, b, c to denote the lengths of the edges.

Now if we wish ψ to become an eigenfunction we must require that it satisfies equation (3). In this case, the Neumann vertex conditions at the boundary vertices for G_1 dictate these relations:

$$\phi(1) = \frac{\phi(4)}{\cos kc} \quad \phi(2) = \frac{\phi(5)}{\cos ka} \quad \phi(3) = \frac{\phi(6)}{\cos kb}.$$
 (11)

The Neumann conditions on the interior vertices are (we make use of (11))

$$-\frac{1}{\sin kc} \left[-\frac{\phi(4)}{\cos kc} + \phi(4)\cos kc \right] + \frac{1}{\sin kc} \left[-\phi(4)\cos kc + \phi(5) \right] + \frac{1}{\sin kb} \left[-\phi(4)\cos kb + \phi(6) \right] = 0$$
 (12)

$$\frac{1}{\sin ka} \left[-\frac{\phi(5)}{\cos ka} + \phi(5)\cos ka \right] + \frac{1}{\sin kc} \left[\phi(5)\cos kc - \phi(4) \right] - \frac{1}{\sin ka} \left[-\phi(5)\cos ka + \phi(6) \right] = 0$$
(13)

$$\frac{1}{\sin kb} \left[-\frac{\phi(6)}{\cos kb} + \phi(6)\cos kb \right] + \frac{1}{\sin kb} \left[\phi(6)\cos kb - \phi(4) \right] + \frac{1}{\sin ka} \left[\phi(6)\cos ka - \phi(5) \right] = 0.$$
(14)

This can be written more conveniently as a matrix-vector product:

$$A^{1}(k)\boldsymbol{\phi} = 0,\tag{15}$$

where the superscript 1 comes to represent that this is the matrix corresponding to G_1 and $\phi = (\phi(4), \phi(5), \phi(6))$.

The matrix $A^{1}(k)$ is

$$A^{1}(k) = \begin{pmatrix} 2\cot 2kc + \cot kb & \frac{-1}{\sin kc} & \frac{-1}{\sin kb} \\ \frac{-1}{\sin kc} & 2\cot 2ka + \cot kc & \frac{-1}{\sin ka} \\ \frac{-1}{\sin kb} & \frac{-1}{\sin ka} & 2\cot 2kb + \cot ka \end{pmatrix},$$

(15) has a nontrivial solution if and only if

$$h^{1}(k) \equiv \det A^{1}(k) = 0,$$
 (16)

 $h^1(k)$ is called the *secular function* and equation (16) is called the *secular equation*. It is fulfilled at the values k which are in the spectrum of the Laplacian of the graph. We can get $A^2(k)$ by switching the lengths b and c in $A^1(k)$. It can be easily checked that $h^1(k) = h^2(k) \equiv h(k)$; hence, the graphs are isospectral.

Although we have proven that G_1 and G_2 are isospectral as quantum graphs, the profound reason for this is still a riddle for us. The recent papers on isospectrality [11, 47] generalize former seminal papers such as those of Sunada [8] and Buser $et\,al\,[10]$ and can produce many of the known examples of isopectral quantum graphs. However, we were not able to build the two graphs G_1 and G_2 using the constructions described in [11, 47]. Furthermore, we were unable to build a transplantation matrix for the quantum graphs (although there is a transplantation matrix for the discrete case—see (6)). It should be emphasized that all isospectral quantum graphs which are built using any of the methods in [8, 10, 11, 47] possess a transplantation matrix between the two graphs. In [46], the authors consider the two graphs in this paper and turn them into scattering systems. They prove that there is no transplantation which involves the values of the eigenfunctions on the vertices. They do not, however, eliminate the possibility of having any other form of transplantation. All these pieces of evidence suggest that G_1 and G_2 might belong to a new class of isospectral quantum graphs.

Remark. Unlike the graphs G_1 and G_2 which correspond to the T_1 family, the isospectrality is not preserved in the T_2 and T_3 graphs (i.e. the corresponding quantum graphs are not isospectral). This leads us to contemplate the issue of converting isospectral weighted discrete graphs into their isospectral quantum analogs. How to do so, or whether at all it is possible, remains an open problem.

5. Summary

The conjecture that isospectrality can be lifted by comparing nodal domain counts was originally stated for flat tori of dimension larger than 3 [20]. Later on, this conjecture was proven for four-dimensional flat tori [22]. However, using a different counting method, a family of both isospectral and isonodal pairs of flat tori was discovered [26].

The conjecture was imported into the realm of graphs where it was proven for some quantum and discrete graphs [23, 24]. In addition, there exists much numerical evidence for the validity of the conjecture in discrete graphs [50] (using a construction by Godsil and McKay [12]).

In this paper, we show that for discrete graphs, the conjecture is not true in its most general form. What we demonstrate is that if we use generalized Laplacians, the conjecture ceases to be valid even for graphs which are not extremal. One should keep in mind that if we restrict ourselves only to the traditional matrices—the adjacency and Laplacian matrices—then the only known counterexamples to the conjecture are trees.

The paper also presents an intriguing connection between isospectral discrete and quantum graphs. The fact that both the discrete graphs and their quantum analogs are isospectral calls for more study on the relation between these two regimes.

Acknowledgments

We warmly thank Uzy Smilansky for his continuous support, significant encouragement and for many invaluable discussions. We acknowledge Amit Godel for helping us revive the proof for equation (8). The work was supported by ISF grant 169/09. RB is supported by EPSRC, grant number EP/H028803/1.

References

- [1] Sturm C 1836 Mémoire sur les Équations différentielles linéaires du second ordre J. Math. Pures Appl. 1 106-86
- [2] Sturm C 1836 Mémoire sur une classe d'Équations à différences partielles J. Math. Pures Appl. 1 373-444
- [3] Hinton D 2005 Sturm's 1836 oscillation results: evolution of the theory *Sturm-Liouville Theory* (Basel: Birkhäuser) pp 1–27
- [4] Courant R and Hilbert D 1953 Methods of Mathematical Physics vol 1 (New York: Interscience)
- [5] Pleijel A 1956 Remarks on Courant's nodal line theorem Commun. Pure Appl. Math. 9 543–50
- [6] Kac M 1966 Can one hear the shape of a drum? Am. Math. Mon. 73 1-23
- [7] Milnor J 1964 Eigenvalues of the Laplace operator on certain manifolds Proc. Natl Acad. Sci. USA 51 542ff
- [8] Sunada T 1985 Riemannian coverings and isospectral manifolds Ann. Math. 121 169-86
- [9] Gordon C, Webb D and Wolpert S 1992 One cannot hear the shape of a drum Bull. Am. Math. Soc. 27 134-8
- [10] Buser P, Conway J, Doyle P and Semmler K D 1994 Some planar isospectral domains Int. Math. Res. Not. 9 391ff
- [11] Band R, Parzanchevski O and Ben-Shach G 2009 The isospectral fruits of representation theory: quantum graphs and drums *J. Phys. A: Math. Theor.* **42** 175202
- [12] Godsil C D and McKay B D 1982 Constructing cospectral graphs Aequationes Math. 25 257-68
- [13] Brooks R 1999 Non-Sunada graphs Ann. Inst. Fourier 49 707-25
- [14] Gunthard Hs H and Primas H 1956 Zusammenhang von Graphentheorie und MO-theorie von Molekeln mit Systemen konjugierter Bindungen Helv. Chim. Acta 39 1645–53
- [15] Collatz L and Sinogowitz U 1957 Spektren endlicher Grafen Abh. Math. Sem. Univ. Hamburg 21 63-77
- [16] Gnutzmann S, Karageorge PD and Smilansky U 2006 Can one count the shape of a drum? Phys. Rev. Lett. 97 090201
- [17] Gnutzmann S, Karageorge P D and Smilansky U 2007 A trace formula for the nodal count sequence—towards counting the shape of separable drums Eur. Phys. J. Spec. Top. 145 217
- [18] Karageorge P D and Smilansky U 2008 Counting nodal domains on surfaces of revolution J. Phys. A: Math. Theor. 41 205102
- [19] Klawonn D 2009 Inverse nodal problems J. Phys. A: Math. Theor. 42 175209
- [20] Gnutzmann S, Smilansky U and Sondergaard N 2005 Resolving isospectral 'drums' by counting nodal domains J. Phys. A: Math. Gen. 38 8921–33
- [21] Gnutzmann S, Karageorge PD and Smilansky U 2006 Can one count the shape of a drum? Phys. Rev. Lett. 97 090201
- [22] Brüning J, Klawonn D and Puhle C 2007 Remarks on 'Resolving isospectral 'drums' by counting nodal domains' J. Phys. A: Math. Theor. 40 15143–7
- [23] Band R, Shapira T and Smilansky U 2006 Nodal domains on isospectral quantum graphs: the resolution of isospectrality? J. Phys. A.: Math. Gen. 39 13999–4014
- [24] Oren I 2007 Nodal domain counts and the chromatic number of graphs J. Phys. A: Math. Theor. 40 9825
- [25] Band R, Oren I and Smilansky U 2008 Nodal domains on graphs—How to count them and why? Analysis on Graphs and its Applications (Proc. Symp. Pure Math.) (Providence, RI: American Mathematical Society) pp 5–28

- [26] Brüning J and Fajman D 2011 On the nodal count for flat tori Commun. Math. Phys. at press (doi:10.1007/s00220-012-1432-0)
- [27] Gantmacher F R and Krein M G 1961 Oscillation Matrices and Kernels and Small Vibrations of Mechanical Systems (Washington, DC: US Atomic Energy Commission) (translated from a publication by the State Publishing House of Technical-Theoretical Literature, Moscow, Leningrad (1950))
- [28] Fiedler M 1975 Eigenvectors of acyclic matrices Czech. Math. J. 25 607-18
- [29] Fiedler M 1975 A property of eigenvectors of non-negative symmetric matrices and its application to graph theory Czech. Math. J. 25 619–33
- [30] Gladwell G M L and Zhu H 2002 Courant's nodal line theorem and its discrete counterparts Q. J. Mech. Appl. Math. 55 115
- [31] Davies E B, Gladwell G M L, Leydold J and Stadler P F 2001 Discrete Nodal Domain Theorems (Linear Algebra and its Applications vol 336) pp 51–60
- [32] Bıyıkoğlu T 2003 A discrete nodal domain theorem for trees Linear Algebra Appl. 360 197–205
- [33] Berkolaiko G 2008 A lower bound for nodal count on discrete and metric graphs Commun. Math. Phys. 278 803–19
- [34] Schwenk A J 1973 Almost all trees are cospectral New Directions in the Theory of Graphs ed F Harary (New York: Academic) pp 275–307
- [35] Band R, Berkolaiko G, Raz H and Smilansky U 2011 The number of nodal domains on quantum graphs as a stability index of graph partitions *Commun. Math. Phys.* at press (doi:10.1007/s00220-011-1384-9)
- [36] Berkolaiko G, Raz H and Smilansky U 2011 Stability of nodal structures in graph eigenfunctions and its relation to the nodal domain count arXiv:1110.3802 [math-ph]
- [37] Gnutzmann S and Smilansky U 2006 Quantum graphs: applications to quantum chaos and universal spectral statistics Adv. Phys. 55 527–625
- [38] Kuchment P 2008 Quantum graphs: an introduction and a brief survey Analysis on Graphs and its Applications (Proc. Symp. Pure Math. vol 77) (Providence, RI: American Mathematical Society) pp 291–312
- [39] Gutkin B and Smilansky U 2001 Can one hear the shape of a graph? J. Phys. A: Math. Gen. 34 6061-8
- [40] McDonald P and Meyers R 2003 Isospectral polygons, planar graphs and heat content Proc. Am. Math. Soc. 131 3589–99
- [41] Okada Y and Shudo A 2001 Equivalence between isospectrality and isolength spectrality for a certain class of planar billiard domains J. Phys. A: Math. Gen. 34 5911–22
- [42] von Below J 1985 A characteristic equation associated to an eigenvalue problem on c²-networks *Linear Algebra Appl.* 71 309–25
- [43] von Below J 2001 Can one hear the shape of a network? *Partial Differential Equations on Multistructures: Proc.*Int. Conf. Luminy (Lecture Notes in Pure and Applied Mathematics vol 219) (New York: Dekker) pp 19–36
- [44] Cattaneo C 1997 The spectrum of the continuous Laplacian on a graph Monatsh. Math. 124 215-35
- [45] Post O 2008 Equilateral quantum graphs and boundary triples Analysis on Graphs and its Applications (Proc. Symp. Pure Math.) (Providence, RI: American Mathematical Society) pp 469–90
- [46] Band R, Sawicki A and Smilansky U 2010 Scattering from isospectral quantum graphs J. Phys. A: Math. Theor. 43 415201
- [47] Parzanchevski O and Band R 2010 Linear representations and isospectrality with boundary conditions J. Geom. Anal. 20 439–71
- [48] Exner P, Keating J P, Kuchment P, Sunada T and Teplyaev A (eds) 2008 Analysis on Graphs and its Applications (Proc. Symp. Pure Math.) (Providence, RI: American Mathematical Society)
- [49] Mehmeti F A, von Below J and Nicaise S (eds) 2001 Partial Differential Equations on Multistructures: Proc. Int. Conf. Luminy (Lecture Notes in Pure and Applied Mathematics vol 219) (New York: Dekker)
- [50] Oren I and Smilansky U 2011 private communication