

Finding the nodal points on a quantum graph

Rami Band, Gregory Berkolaiko, Hillel Raz, Uzy Smilansky



The vibration modes of a string

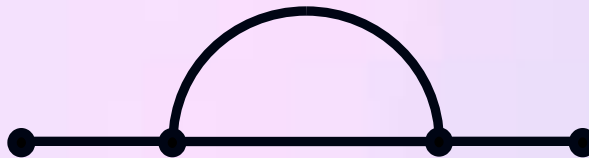
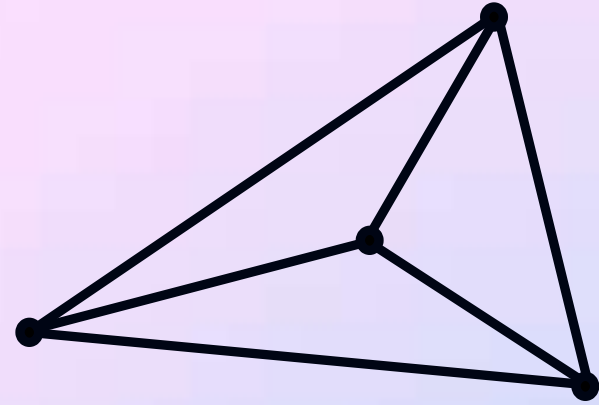
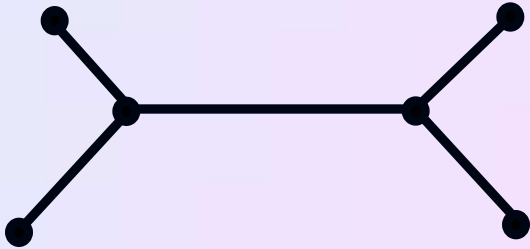
$$-\frac{d^2}{dx^2} f = \lambda f$$



Eigenvalue	Eigenfunction	Nodal Domain Count
λ_1		1
λ_2		2
λ_3		3
λ_4		4
⋮	⋮	⋮

The nodal domain count of graphs

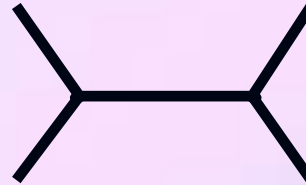
- We are interested in the **nodal count**, $\{v_n\}_{n=1}^{\infty}$, of a quantum graph.



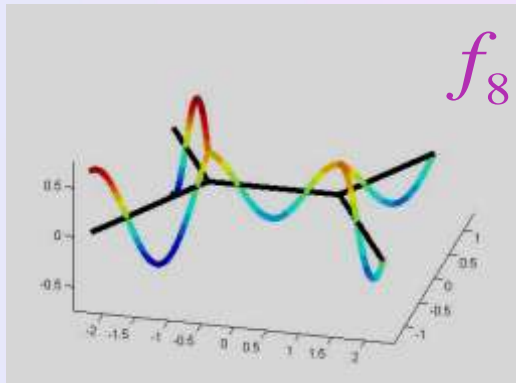
The nodal domain count of graphs

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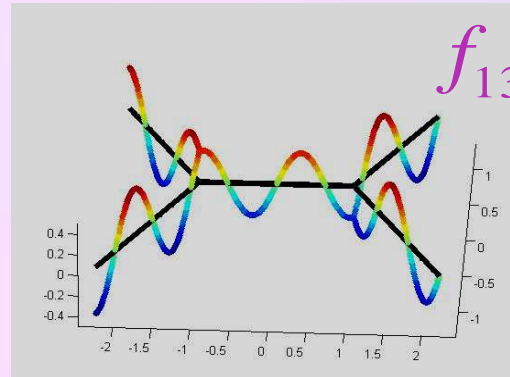
Eigenfunctions of the graph



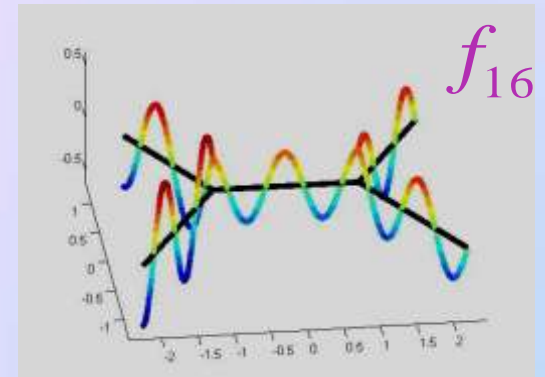
$$-\frac{d^2}{dx^2} f_n = \lambda_n f_n$$



f_8



f_{13}



f_{16}

Nodal domains $v_8 = 8$

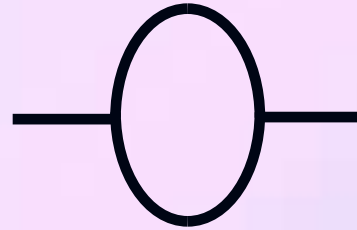
$v_{13} = 13$

$v_{16} = 16$

The nodal domain count of graphs

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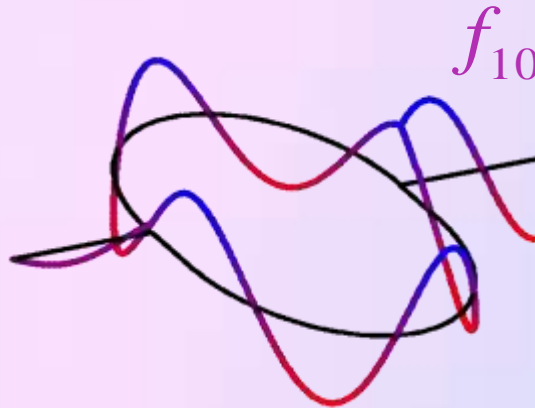
Eigenfunctions of the graph



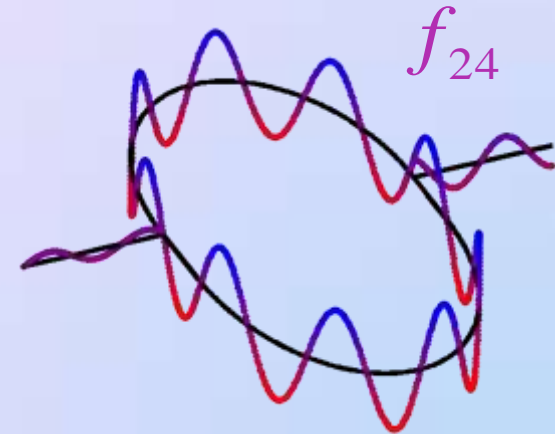
$$-\frac{d^2}{dx^2} f_n = \lambda_n f_n$$



Nodal count $v_3 = 2$



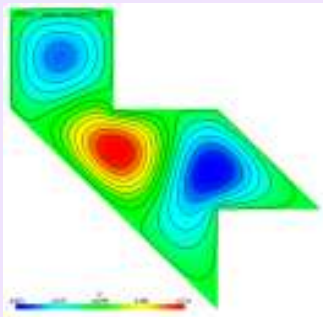
$v_{10} = 10$



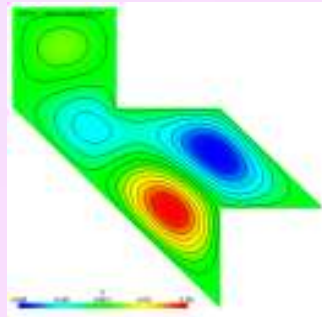
$v_{24} = 23$

The nodal domain count of drums \billiards

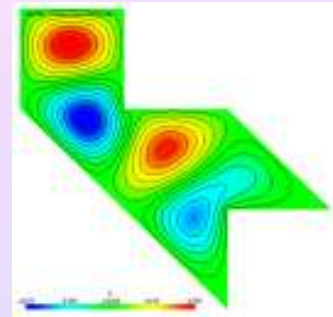
$$-\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}\right)f_n = \lambda_n f_n \quad f_n|_{\text{boundary}} = 0$$



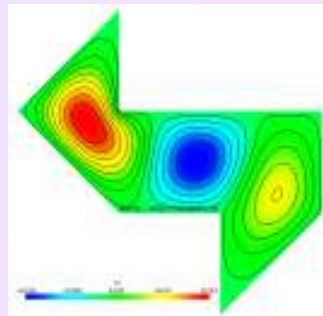
$$v_3 = 3$$



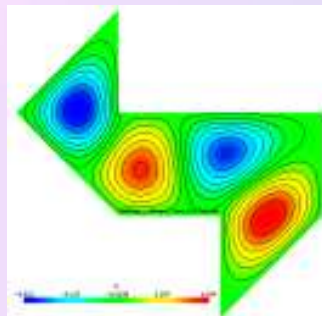
$$v_4 = 3$$



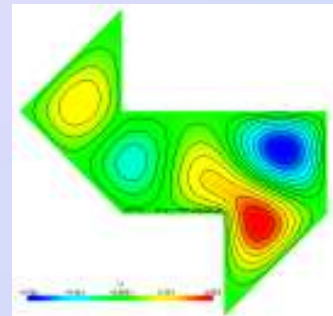
$$v_5 = 4$$



$$v_3 = 3$$



$$v_4 = 4$$



$$v_5 = 4$$

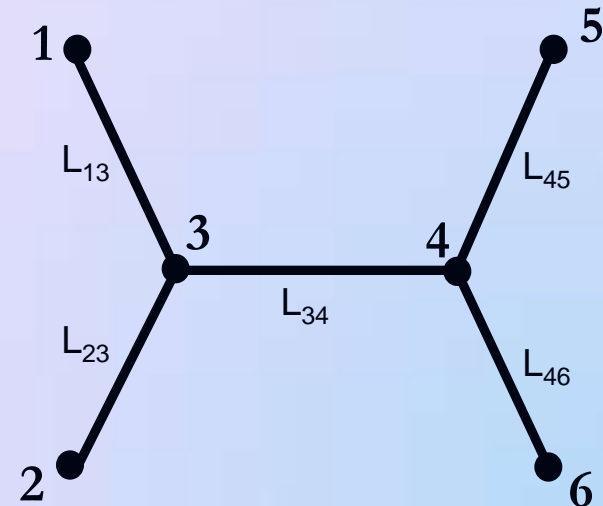
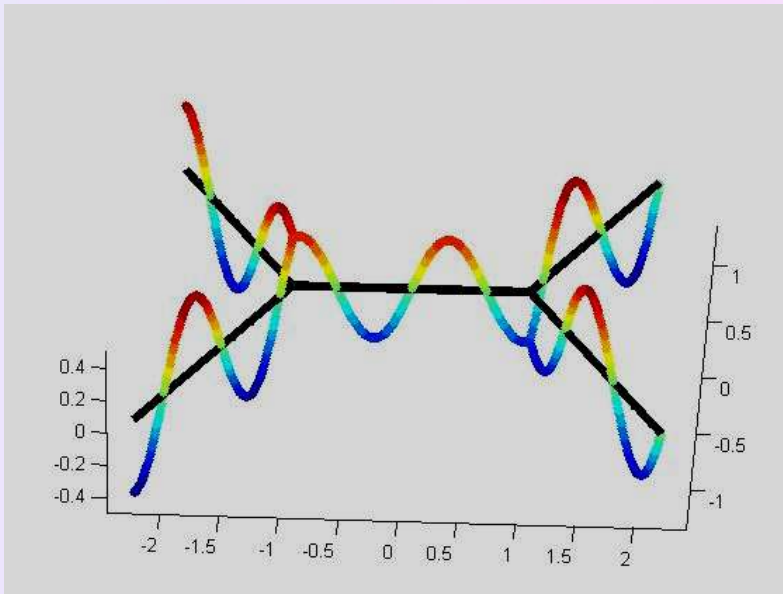
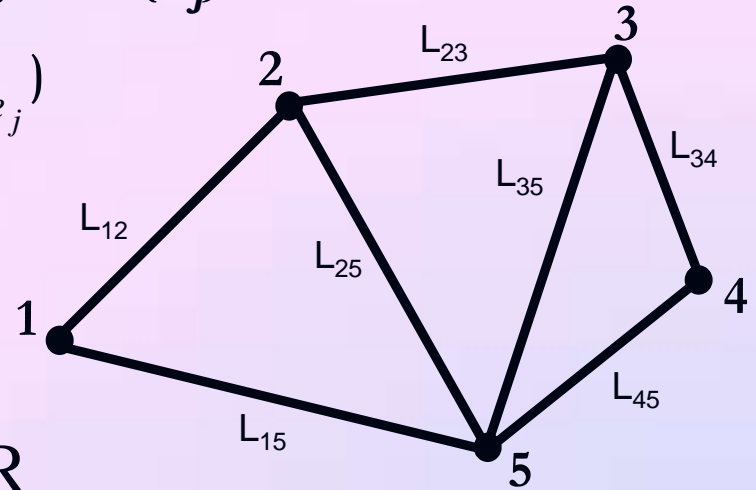
Nodal domains

Nodal domains

Metric Graphs - Introduction

- A **graph** Γ consists of a finite set of vertices $V=\{v_j\}$ and a finite set of edges $E=\{e_j\}$.
- A **metric graph** has a finite length (L_{e_j}) assigned to each edge.
- A **function** on the graph is a vector of functions on the edges:

$$f = (f_{e_1}, \dots, f_{e_{|E|}}) \quad \forall e_j, f_{e_j} : [0, L_{e_j}] \rightarrow \mathbb{R}$$



Quantum Graphs - Introduction

- A **quantum graph** is a metric graph equipped with an operator, such as the negative **Laplacian** with a **bounded potential**:

$$-\frac{d^2}{dx^2} + v(x)$$

- For each vertex v , we impose **vertex conditions**, such as: (The **delta-type** conditions)

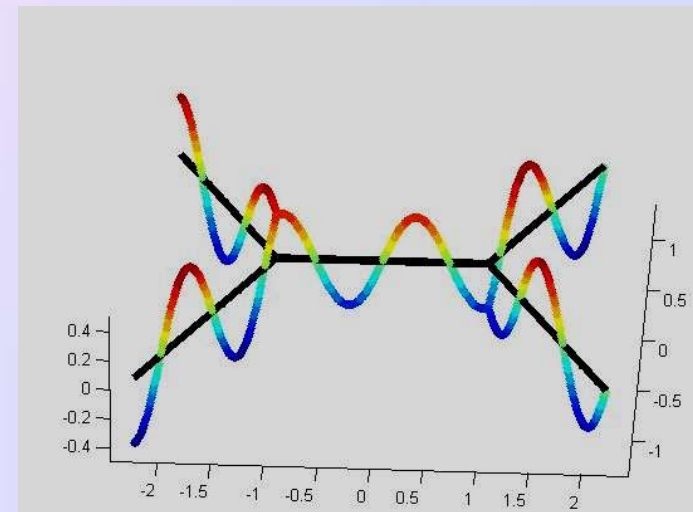
- Continuity $\forall e_1, e_2 \in E_v \quad f|_{e_1}(v) = f|_{e_2}(v)$

- Sum of derivatives $\sum_{e \in E_v} f'|_e(v) = \alpha_v f(v)$

- Two special cases of vertex conditions:

- **Neumann**: $\alpha_v = 0$

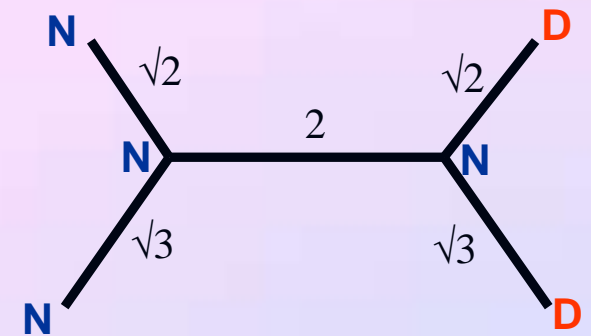
- **Dirichlet**: $\alpha_v = \infty$



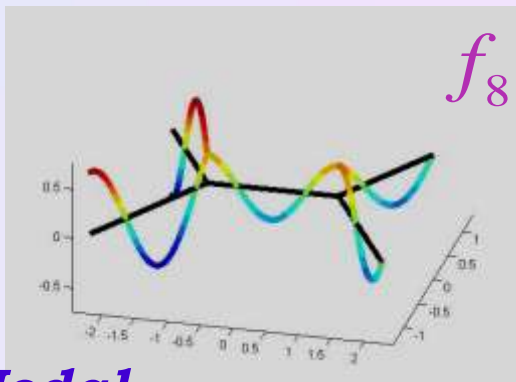
The eigenfunctions of Quantum Graphs

- A quantum graph is defined by specifying:
 - Metric graph
 - Operator
 - Vertex conditions for each vertex

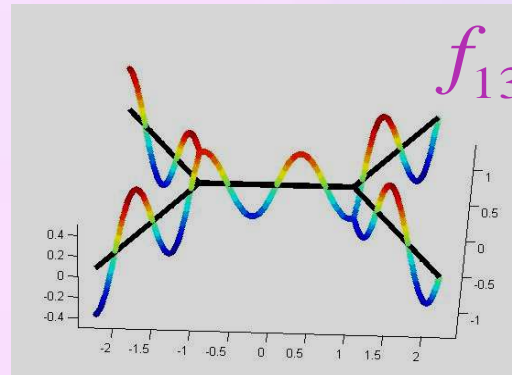
$$-\frac{d^2}{dx^2} + v(x)$$



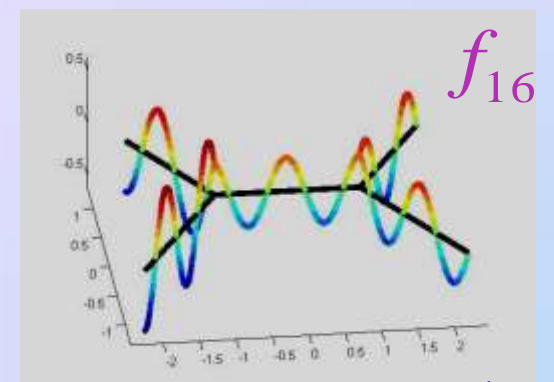
Examples of several eigenfunctions :



Nodal count: $\nu_8 = 8$



$\nu_{13} = 13$



$\nu_{16} = 16$

The nodal count of Quantum Graphs - Known results

- We denote the nodal count sequence by $\{v_n\}_{n=1}^{\infty}$.

- The nodal count of a vibrating string is $v_n = n$.
Sturm's oscillation theorem (1836).



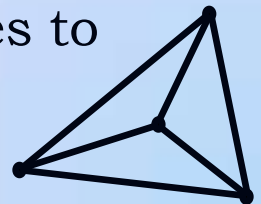
- A general bound of Courant (1923) is $v_n \leq n$.
Adapted to quantum graphs by Gnutzmann, Smilansky, Weber (2004) following a method of Pleijel (1956).

- The nodal count of a tree graph is $v_n = n$.
Al-Obeid, Pokornyi, Pryadiev (1992), Schapotschnikow (2006).



- $v_n \geq n - \beta$ is a bound given by Berkolaiko (2006),
 - where $\beta = |E| - |V| + 1$ is the minimal number of edges to remove so that the graph turns into a tree.

$$\beta = 6 - 4 + 1 = 3$$



The nodal count of Quantum Graphs - Known results

- Definition: the *nodal deficiency* of an eigenfunction is $d_n = n - \nu_n$.
- Summary of the previous results: $0 \leq d_n \leq \beta$ (where $\beta = |E| - |V| + 1$)

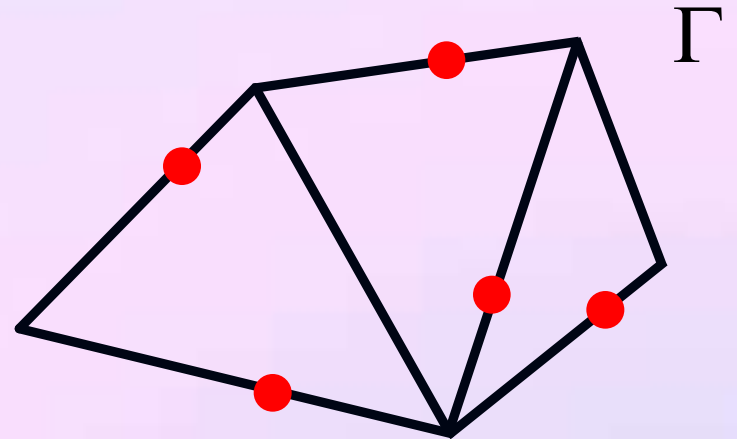
The current results in a nutshell

- The eigenfunctions of a quantum graph correspond to critical points of some energy function.
- The nodal deficiency of the eigenfunction equals the Morse index of the critical point.

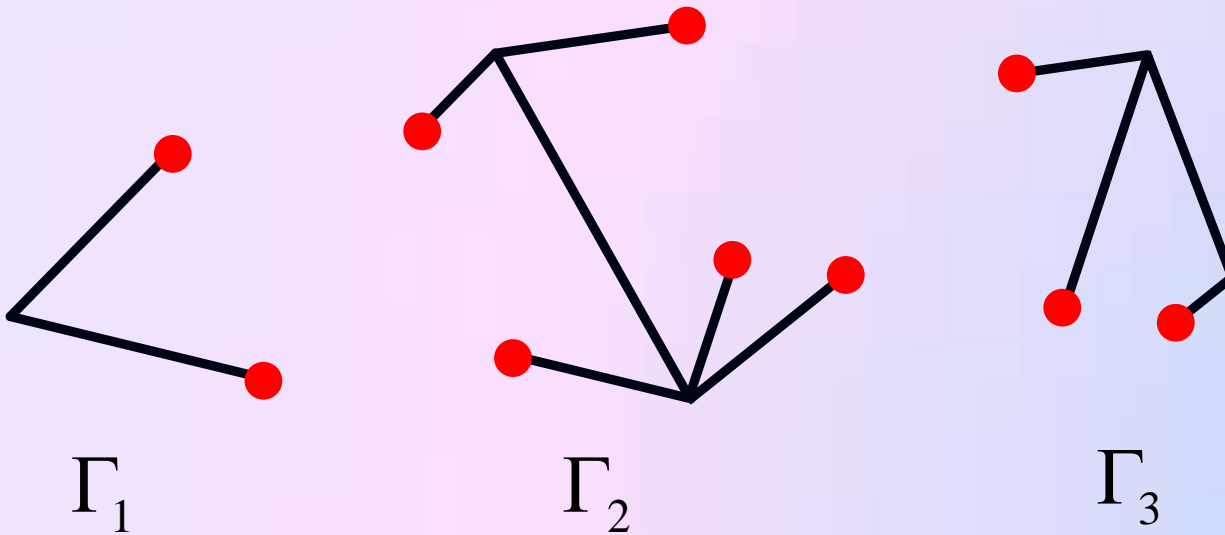
The *Morse index* of a function at a critical point is the number of negative eigenvalues of the Hessian at this point.

Partitions of a graph

- A partition of the graph - a guess for zeros' locations of some eigenfunction.



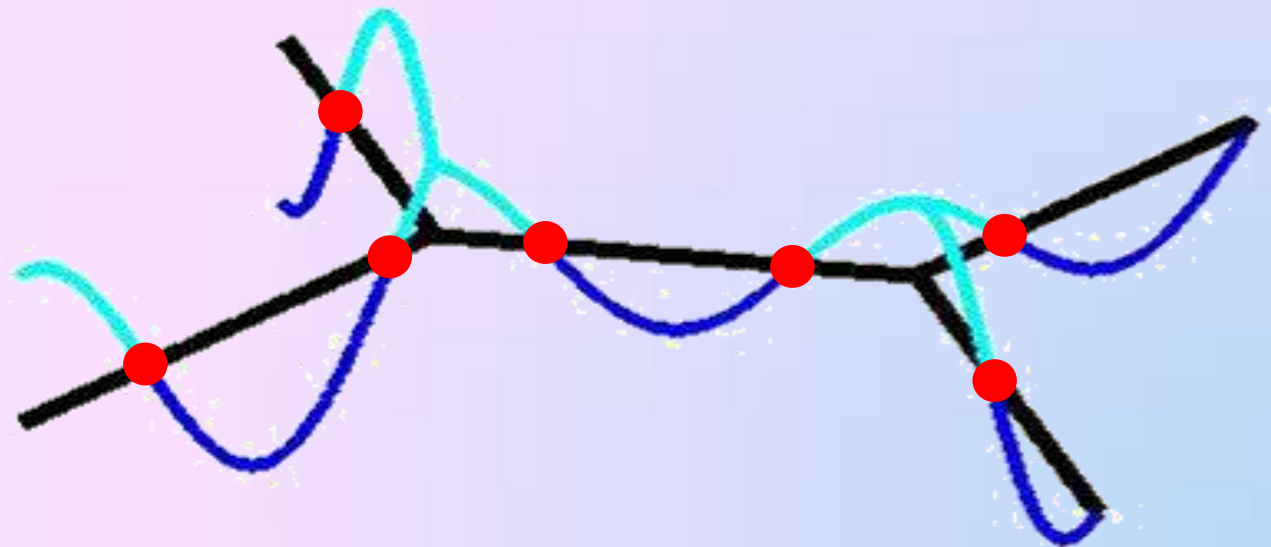
- The zeros partite the graph into several **sub-graphs**, $\{\Gamma_1, \Gamma_2, \Gamma_3, \dots\}$.



Partitions of a graph

- Properties of a partition which corresponds to an eigenfunction:
 - Each subgraph corresponds to a nodal domain,
 - The partition is **bipartite**:
we can assign a sign $\{-, +\}$ to each subgraph such that neighbouring subgraphs will have different signs.

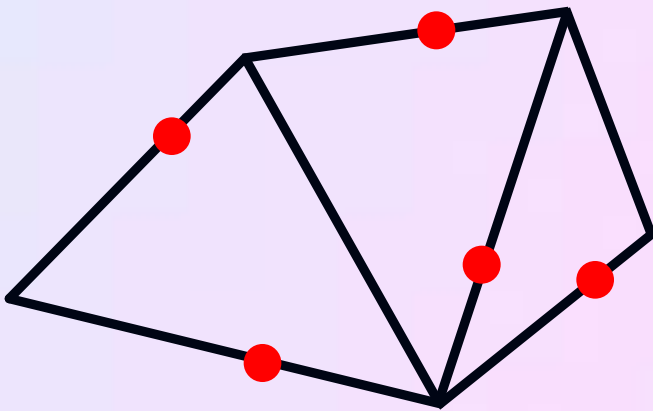
Γ



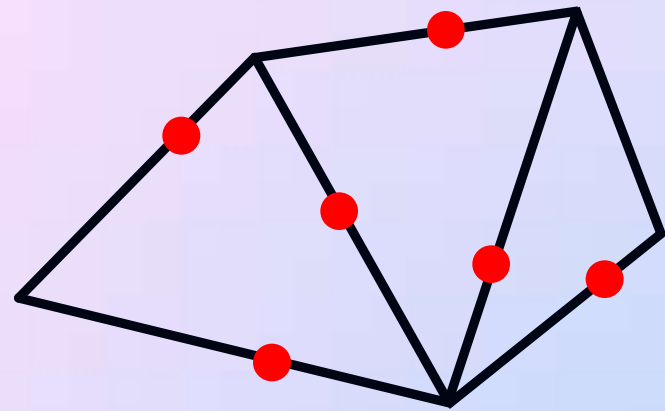
Bipartite & Non-bipartite partitions

A partition is called **bipartite** if:

we can assign a sign $\{-, +\}$ to each subgraph such that neighbouring subgraphs will have different signs.



Bipartite
partition



Non-Bipartite
partition

Partitions of a graph

■ Properties of a partition which corresponds to an eigenfunction:

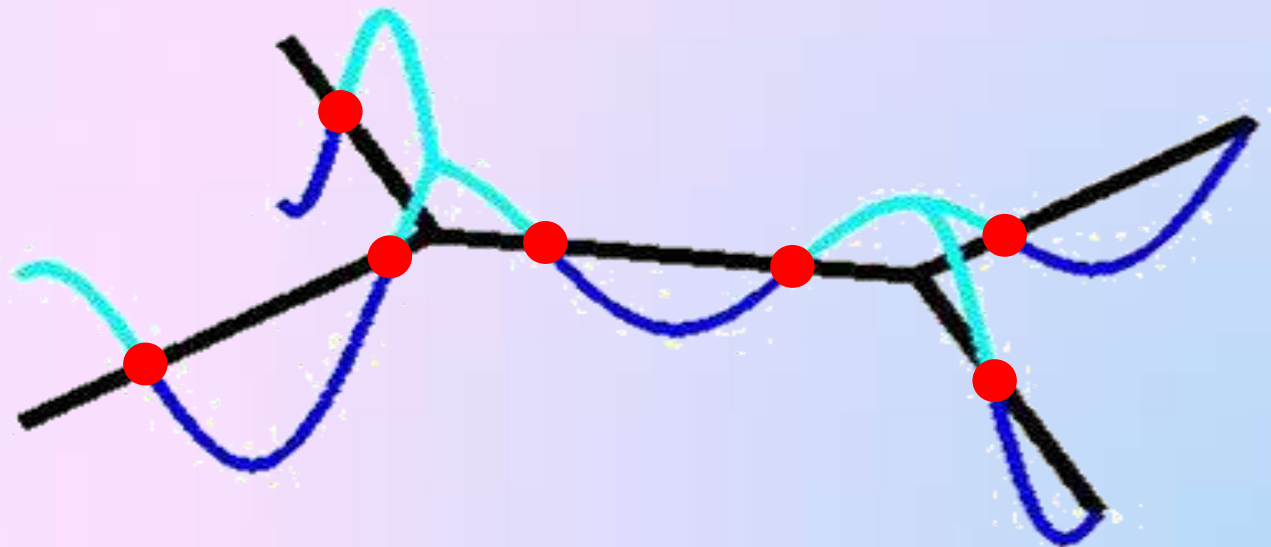
■ The partition is **bipartite**.

■ The first eigenvalues of the subgraphs are equal:

$$\lambda_1(\Gamma_1) = \lambda_1(\Gamma_2) = \lambda_1(\Gamma_3) = \dots$$

Such a partition is called an **equipartition**.

Γ



Partitions of a graph

- Properties of a partition which corresponds to an eigenfunction:
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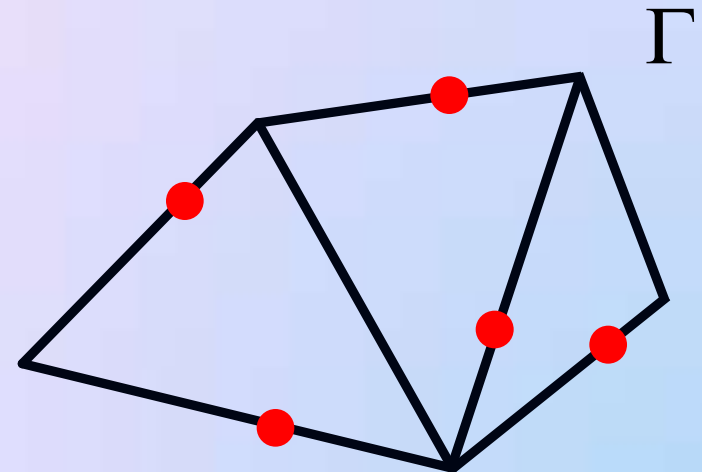
Equipartitions

- Let $Q_n(\Gamma)$ denote the space of all equipartitions with n zeros.
- Define the energy function as $\Lambda: Q_n(\Gamma) \rightarrow R$
 $\Lambda(Q) := \lambda_1(\Gamma_1) = \lambda_1(\Gamma_2) = \lambda_1(\Gamma_3) = \dots$

Theorem 1

If Q is a critical point of Λ , and Q is bipartite then

Q represents the zeros' location of some eigenfunction on Γ .



The main theorems

Theorem 1

If Q is a critical point of Λ , and Q is bipartite then

Q represents the zeros' location of some eigenfunction on Γ .

Theorem 2

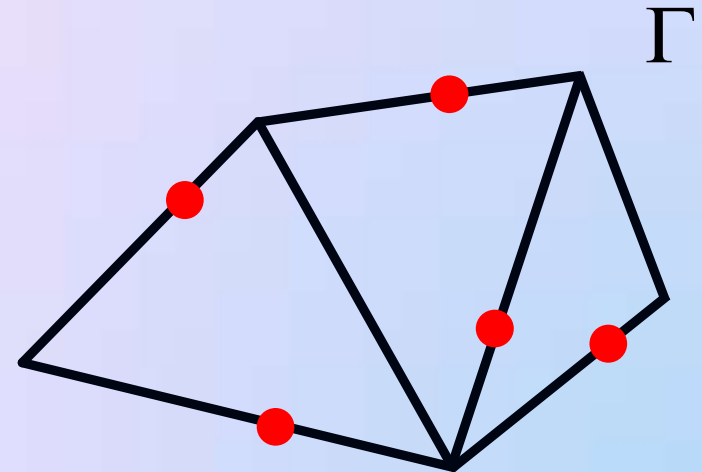
Let Q be a non-degenerate critical point of Λ as in Theorem 1,

And let f be the corresponding eigenfunction.

Then the nodal deficiency of f equals
the Morse index of Λ at Q .

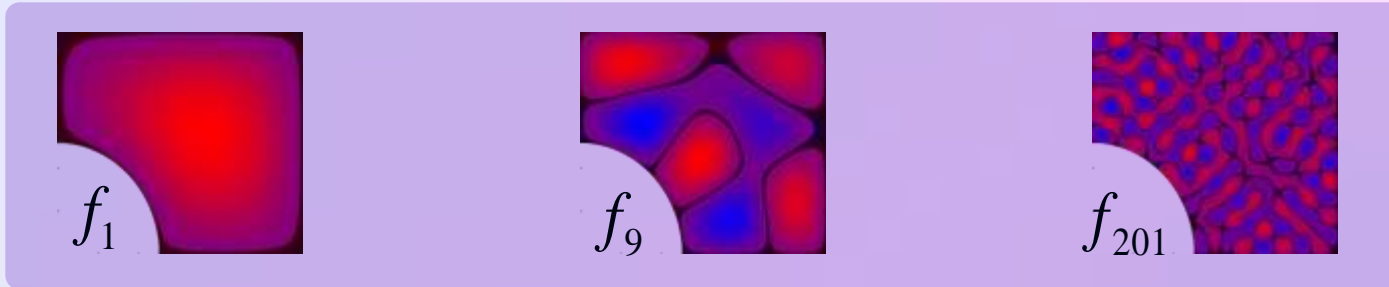
Recall:

- The *nodal deficiency* is $d_n = n - \nu_n$.
- The *Morse index* is the number of negative eigenvalues of the Hessian.



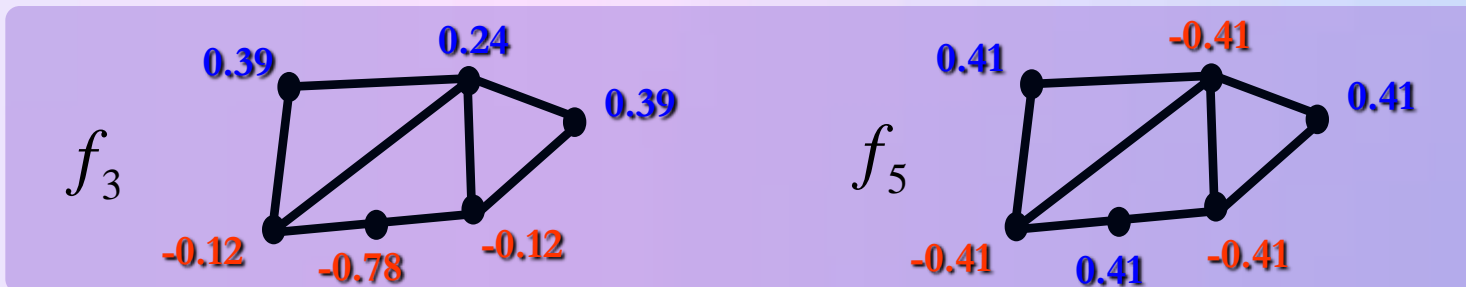
What else?

- Two-dimensional planar domains



- Helffer, Hoffmann-Ostenhof and Terracini
a similar result to theorem 2, for nodal deficiency = zero. (2006).
- Analogue results for two-dimensional domains
Berkolaiko, Kuchment, Smilansky (2011).

- Analogue results for Combinatorial graphs
Berkolaiko, Raz, Smilansky (2011).



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On the connection between the number of nodal domains on quantum graphs and the stability of graph partitions.

Comm. Math. Phys., 2011. preprint [arXiv:1103.1423 \[math-ph\]](https://arxiv.org/abs/1103.1423).