

HOMework 5

The constant term of the Trace formula

- (1) In the following question we will complete the missing ingredients from the proof done in class for the expression of the constant term,  $N_0$ , of the trace formula. Consider a Neumann graph (all vertex conditions are of Neumann type) with a single connected component. Let  $\vec{E}$  be the space of directed edges on the graph (this space is of dimension  $2E$ , where  $E$  is the number of edges).

(a) Let  $\omega : \vec{E} \rightarrow \mathbb{C}$  such that

$$\forall (i, j) \in \vec{E} ; \omega(i, j) = -\omega(j, i)$$

and

$$\forall i \sum_{j \sim i} \omega(i, j) = 0,$$

where  $j \sim i$  means that the vertex  $j$  is adjacent to the vertex  $i$ . All such functions  $\omega : \vec{E} \rightarrow \mathbb{C}$  form a vector space (over  $\mathbb{C}$ ). Prove that the dimension of this space is  $\beta := E - V + 1$ . You can follow some of the steps we used in class for this purpose.

- (b) Let  $\vec{a}^{in} \in \mathbb{C}^{2E}$  with entries denoted by  $a_j^{(i),in}$  (for  $i \sim j$ ), such that the following is satisfied

$$\forall i \sim j, i \sim k ; a_j^{(i),in} + a_i^{(j),in} = a_k^{(i),in} + a_i^{(k),in}$$

and

$$\forall i \sum_{j \sim i} -a_j^{(i),in} + a_i^{(j),in} = 0.$$

Prove that the dimension of the vector space which contains all such solutions  $\vec{a}^{in} \in \mathbb{C}^{2E}$  is  $\beta + 1$ . You can use your answer to section (a).

- (c) Note that this means that  $\dim \ker(\mathbf{1} - S) = \beta + 1$  and therefore  $N_0 = -\frac{\beta+1}{2}$ , which gives the following trace formula for the spectral counting function

$$N(k) = \frac{1 - \beta}{2} + \frac{\mathcal{L}}{\pi}k + -\lim_{\epsilon \rightarrow 0} \frac{1}{\pi} \text{Im} \log \zeta(k + i\epsilon).$$

Show that for a Neumann graph with  $C$  (disjoint) connected components, this result would be generalized to

$$N(k) = \frac{C - \beta}{2} + \frac{\mathcal{L}}{\pi}k + -\lim_{\epsilon \rightarrow 0} \frac{1}{\pi} \text{Im} \log \zeta(k + i\epsilon).$$

You can use the generalized definition of  $\beta$ , which is  $\beta := E - V + C$  (this value can be obtained by summing over all the  $\beta$ 's of the different components).

## From quantum graphs to discrete graphs

(2) In this question we'll start revealing the spectral connection between quantum graphs and discrete graphs.

(a) Consider an arbitrary quantum graph with  $V$  vertices and  $E$  edges. Assume that Neumann conditions are imposed on all vertices and that all edges are of the same length,  $l$ . Use the following representation for an eigenfunction with eigenvalue  $k^2$  on the edge  $(i, j)$

$$f_{ij}(x_{ij}) = \frac{f_j \sin(kx_{ij}) + f_i \sin(k(l - x_{ij}))}{\sin(kl)}$$

and the restrictions dictated by the Neumann conditions to obtain a set of  $V$  homogeneous equations for the variables  $f_i$  ( $i = 1, 2, \dots, V$ ).

(b) Denote by  $\vec{f}$  the vector whose entries are all the  $f_i$  variables. Assume that  $\sin(kl) \neq 0$  and manipulate the linear set of equations you got in (a) to have the following form

$$A \vec{f} = \cos(kl) \vec{f}.$$

What is the matrix  $A$ ?

Note that this matrix describes the underlying discrete graph. Note also that (almost all) the eigenvalues of the quantum graph are obtained as  $\frac{1}{l} \arccos(\lambda)$ , where  $\lambda$  is some eigenvalue of  $A$ . This establishes a spectral connection between the discrete and the quantum graph, on which we will elaborate more in the next lecture.